



Calhoun: The NPS Institutional Archive
DSpace Repository

Theses and Dissertations

1. Thesis and Dissertation Collection, all items

1992-06

Implementation of the one bit spectral correlation algorithm

Hutcheson, George A.

Monterey, California. Naval Postgraduate School

<http://hdl.handle.net/10945/23920>

This publication is a work of the U.S. Government as defined in Title 17, United States Code, Section 101. Copyright protection is not available for this work in the United States.

Downloaded from NPS Archive: Calhoun



Calhoun is the Naval Postgraduate School's public access digital repository for research materials and institutional publications created by the NPS community. Calhoun is named for Professor of Mathematics Guy K. Calhoun, NPS's first appointed -- and published -- scholarly author.

Dudley Knox Library / Naval Postgraduate School
411 Dyer Road / 1 University Circle
Monterey, California USA 93943

<http://www.nps.edu/library>

STUDLEY KNOX LIBRARY
VAL POSE GRADUATE SCHOOL
TEANECK, CA 93943-5101

REPORT DOCUMENTATION PAGE				Form Approved OMB No 0704-0188	
1a REPORT SECURITY CLASSIFICATION UNCLASSIFIED			1b RESTRICTIVE MARKINGS		
2a SECURITY CLASSIFICATION AUTHORITY			3 DISTRIBUTION / AVAILABILITY OF REPORT Approved for public release; distribution is unlimited		
2b DECLASSIFICATION / DOWNGRADING SCHEDULE					
4 PERFORMING ORGANIZATION REPORT NUMBER(S)			5 MONITORING ORGANIZATION REPORT NUMBER(S)		
6a NAME OF PERFORMING ORGANIZATION Naval Postgraduate School		6b OFFICE SYMBOL (If applicable) EC	7a NAME OF MONITORING ORGANIZATION Naval Postgraduate School		
6c ADDRESS (City, State, and ZIP Code) Monterey, CA 93943-5000		7b ADDRESS (City, State, and ZIP Code) Monterey, CA 93943-5000			
8a NAME OF FUNDING / SPONSORING ORGANIZATION		8b OFFICE SYMBOL (If applicable)	9 PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER		
8c ADDRESS (City, State, and ZIP Code)			10 SOURCE OF FUNDING NUMBERS		
			PROGRAM ELEMENT NO	PROJECT NO	TASK NO
					WORK UNIT ACCESSION NO
11 TITLE (Include Security Classification) IMPLEMENTATION OF THE ONE BIT SPECTRAL CORRELATION ALGORITHM					
12 PERSONAL AUTHOR(S) HUTCHESON, George A.					
13a TYPE OF REPORT Master's Thesis		13b TIME COVERED FROM _____ TO _____		14 DATE OF REPORT (Year, Month, Day) 1992 June	
				15 PAGE COUNT 52	
16 SUPPLEMENTARY NOTATION The views expressed in this thesis are those of the author and do not reflect the official policy or position of the Department of Defense or the US Government.					
17 COSATI CODES			18 SUBJECT TERMS (Continue on reverse if necessary and identify by block number)		
FIELD	GROUP	SUB-GROUP			
19 ABSTRACT (Continue on reverse if necessary and identify by block number) The computational efficiency of the One Bit Spectral Correlation Algorithm is compared to other cyclic spectrum analysis algorithms. A transmission bandwidth advantage is discussed. A parallel computational structure which implements the OBSCA is described and a system architecture is proposed.					
20 DISTRIBUTION / AVAILABILITY OF ABSTRACT <input checked="" type="checkbox"/> UNCLASSIFIED/UNLIMITED <input type="checkbox"/> SAME AS RPT <input type="checkbox"/> DTIC USERS			21 ABSTRACT SECURITY CLASSIFICATION UNCLASSIFIED		
22a NAME OF RESPONSIBLE INDIVIDUAL LOOMIS, Herschel H., Jr.			22b TELEPHONE (Include Area Code) (408)646-3214		22c OFFICE SYMBOL EC/Lm

Approved for public release; distribution is unlimited.

IMPLEMENTATION OF THE
ONE BIT SPECTRAL CORRELATION ALGORITHM

by

George A. Hutcheson
Lieutenant, United States Navy
B.S., Augusta College , 1984

Submitted in partial fulfillment
of the requirements for the degree of

MASTER OF SCIENCE IN ELECTRICAL ENGINEERING

from the

NAVAL POSTGRADUATE SCHOOL

June 1992

ABSTRACT

The computational efficiency of the *One Bit Spectral Correlation Algorithm* is compared to other cyclic spectrum analysis algorithms. A transmission bandwidth advantage is discussed. A parallel computational structure which implements the OBSCA is described and a system architecture is proposed.

10513
49585
C.1

TABLE OF CONTENTS

I.	INTRODUCTION	1
1.	BACKGROUND	1
a.	SPECTRAL CORRELATION ANALYSIS	1
b.	METHODS OF COMPUTATION	2
c.	TDOA APPLICATION	3
2.	THESIS GOALS	5
II.	ONE BIT SPECTRAL CORRELATION ALGORITHM	6
A.	INTRODUCTION AND THEORY	6
B.	ALGORITHMIC COMPARISONS	9
1.	ENTIRE BIFREQUENCY PLANE	9
a.	FSM	11
b.	FAM	12
c.	OBSCA	13
2.	SINGLE CYCLIC FREQUENCY OF INTEREST	15
a.	FSM	15
b.	FAM	16
c.	OBSCA	17
C.	BANDWIDTH ADVANTAGE	19
III.	STRUCTURES FOR SINGLE CYCLIC FREQUENCIES	22
A.	INTRODUCTION	22

B.	EQUIVALENT STRUCTURE FOR SINGLE CYCLIC FREQUENCY OF INTEREST	23
IV.	SUMMARY	25
A.	CONCLUSIONS	25
B.	RECOMMENDATIONS	26
	LIST OF REFERENCES	41
	INITIAL DISTRIBUTION LIST.	43

LIST OF TABLES

Table 1. OBSCA decoding array operation.	9
Table 2. Complexity summary for FSM.	11
Table 3. Complexity summary for FAM.	12

LIST OF FIGURES

Figure 1.	BPSK signal on bifrequency plane	27
Figure 2.	CSA cells tiling the bifrequency plane	28
Figure 3.	Arithmetic unit for OBSCA.	29
Figure 4.	Complexity of real multiplies for entire plane .	30
Figure 5.	Complexity of real adds for entire plane	31
Figure 6.	Complexity of real multiplies for single α . . .	32
Figure 7.	Complexity of real adds for single α	33
Figure 8.	Comparison of required transmission bandwidths .	34
Figure 9.	Mapping of the BPS into the Q array.	35
Figure 10.	Decomposition of Q array into R and S arrays. .	36
Figure 11.	The R processor array for $\Delta f=1/8$	37
Figure 12.	System architecture for $\Delta f=1/8$	38
Figure 13.	The R and S arrays with aligned memory buffers. .	39
Figure 14.	Single α decoding array	40

I. INTRODUCTION

1. BACKGROUND

a. SPECTRAL CORRELATION ANALYSIS

Spectral correlation analysis is a method of signal analysis which takes advantage of the cyclostationary properties found in many communications signals. Most digital modulation schemes produce signals with underlying periodically time-variant structures. These structures give rise to observed functions of time which are successfully modelled as cyclostationary waveforms. This is especially true in connection with detection, estimation, synchronization, and emitter location. The spectral correlation function(SCF) is the cross spectrum of a signal with a time or frequency shifted version of itself. The SCF and cyclostationary waveform theories are discussed fully in references 1 through 6.

The SCF gives rise to the *cyclic cross spectrum*, a three dimensional plot of the signal on the bifrequency plane. Figure 1 shows the cyclic cross spectrum of a BPSK signal plotted on the bifrequency plane. The horizontal, or frequency, axis is denoted by f_j . The vertical axis is called the cyclic frequency axis and is denoted by α_i . Each feature

on the plane lies along a line of constant α . The large feature in the background is the normal signal power spectrum and lies along the $\alpha=0$ axis. The tallest feature in the foreground is twice the carrier frequency and the two closest features on either side are the data.

Because many modulated signals have a unique cyclic spectrum, cyclic spectrum analysis is particularly well suited for signal detection, modulation, recognition, signal parameter estimation, and the design of communication systems. However, cyclic spectrum analysis has a very high computational complexity which limits its use as a signal and systems analysis tool. The basic operations in cyclic spectrum analysis techniques are Fourier transformations, convolution, and product modulation which are common to most signal processing algorithms [Ref. 7]. The sheer number of calculations required for cyclic spectrum analysis far exceeds conventional spectral analysis and often proves too great for general purpose computers.

b. METHODS OF COMPUTATION

In general, there are two broad categories of cyclic spectrum analysis algorithms: time-smoothing and frequency-smoothing techniques. Smoothing is the term used to describe the process by which unwanted irregularities are removed from the cyclic periodogram. The basic time and frequency

smoothing techniques are developed in reference 3. Time-smoothing techniques include the *FFT Accumulation Method (FAM)* and the *Strip Spectral Correlation Algorithm (SSCA)*. These methods were introduced in reference 4 and discussed in references 8 through 9. In reference 10, it is shown that these techniques are much more computationally efficient than the basic *Frequency Smoothing Method (FSM)*. This is because time smoothing techniques require less computations on the average than their frequency smoothing counterparts. However, reference 7 introduced the *One Bit Spectral Correlation Algorithm (OBSCA)*. OBSCA is a variation of FSM which has some interesting properties that offer attractive advantages over the less computationally intense time smoothing algorithms. Each of these algorithms perform the computations necessary to calculate the entire cyclic cross spectrum.

C. TDOA APPLICATION

The *One Bit Spectral Correlation Algorithm* is particularly well suited for time difference of arrival applications. The two primary methods for computing the TDOA of a signal are the *Spectral Correlation Ratio Method (SPECCORR)* and the *Spectral Coherence Alignment Method (SPECCOA)*. In both methods, a signal which is received at each of two receivers is needed to process the algorithms. The manner of computation each method employs is slightly different.

The algorithm for SPECCORR [Ref. 11] is

$$\hat{D} = \arg \max_{\tau} \{ \hat{b}_{\alpha}(\tau) \} \quad (1)$$

where the argument is an estimate of

$$b_{\alpha}(\tau) \triangleq \left| \int_{||f| - \hat{f}_{\alpha}| < B_{\alpha}/2} \frac{S_{yx}^{\alpha}(f)}{S_x^{\alpha}(f)} e^{i2\pi f\tau} df \right|. \quad (2)$$

The algorithm for SPECCOA is

$$\hat{D} = \arg \max_{\tau} \{ \hat{c}_{\alpha}(\tau) \} \quad (3)$$

and the argument is an estimate of

$$\hat{c}_{\alpha} = \operatorname{Re} \left\{ \int_{|f| < B} S_{yx}^{\alpha}(f) S_x^{\alpha}(f)^* e^{i2\pi(f + \alpha/2)\tau} df \right\}. \quad (4)$$

As can be noted from the above equations, both SPECCORR and SPECCOA require the computation of S_x in order to determine the location of any features of interest and then the computation of S_{yx} along that α to perform the TDOA calculation. It is the calculation of $S_{yx}(f)$ for a specific α and all f where the OBSCA algorithm shows the most promise.

2. THESIS GOALS

This paper takes a closer look at OBSCA's unique properties and examines the potential advantages in computing the cyclic cross spectrum. Comparisons are made against the FAM and FSM algorithms on the basis of the *Hardware Complexity Product* [Ref. 10] for both the entire bifrequency plane and the special case where there is a specific cyclic frequency of interest. While SSCA is similar in performance to the FAM over the entire bifrequency plane, it cannot be simplified in a manner which is advantageous in calculating the special case of one α of interest. Therefore, only FAM and FSM will be used for comparison with OBSCA.

A highly parallel system architecture for OBSCA was proposed in reference 7. That architecture was proposed for the calculation of the entire cyclic cross spectrum. This paper analyzes the computation requirements for the algorithm and proposes a system architecture which incorporates those ideas in a manner which allows the OBSCA algorithm to be implemented so as to compute $S_x(f)$ and $S_{yx}(f)$ along a line of given α .

II. ONE BIT SPECTRAL CORRELATION ALGORITHM

A. INTRODUCTION AND THEORY

The One Bit Spectral Correlation Algorithm is based on the *Digital Frequency Smoothing Method* of computing the cyclic cross spectrum. A frequency smoothed point estimate of the bifrequency plane at a point (f_j, α_i) is

$$S_{xy\Delta t}^{\alpha_i}(f_j)_{\Delta t} = \frac{b(f_j, \alpha_i)}{M} \sum_{m=-M/2}^{M/2-1} X_{\Delta t}(j + \lfloor \frac{j}{2} \rfloor + m) \Phi[Y_{\Delta t}(j - \lfloor \frac{j}{2} \rfloor + m)]^*. \quad (5)$$

M is restricted to be an even integer. The scaling factor associated with equation (5) is

$$b(f_j, \alpha_i) = \frac{1}{2M} \sum_{m=-M/2}^{M/2} \Phi[Y_{\Delta t}(j - \frac{j}{2} + m)] Y_{\Delta t}^*(j - \frac{j}{2} + m). \quad (6)$$

The cyclic frequency coordinate is given by $\alpha_i = i/N$ and the spectral frequency coordinate is given by $f_j = j/N$. Where $N = \Delta t$ is the number of samples to be processed assuming a unity sampling rate. $X_{\Delta t}(k)$ and $Y_{\Delta t}(k)$ are Fourier transformations of the sampled sequences $x(n)$ and $y(n)$, which are derived, in turn, by sampling $x(t)$ and $y(t)$ at a rate of

f_s , assumed to be unity for subsequent developments. $Y(t)$ is generally a time shifted version of $x(t)$ such that $y(t) = x(t - t_0)$.

In order to compute the entire bifrequency plane, equations (5) and (6) will need to be applied to the whole plane in some efficient manner. As discussed in references 4 and 9, each point estimate in the plane has a region of support called a *Cyclic Spectrum Analyzer (CSA) cell*. The cells are approximated as a rectangular shape with the length determined by Δf and the width by $\Delta \alpha$. It is shown in reference 12 that the frequency resolution $\Delta f = M/N$ and the cyclic frequency resolution $\Delta \alpha = 1/N$ [Ref. 12]. To determine the statistical reliability of a point estimate, the time-frequency resolution product, $\Delta t \Delta f = M$, must be much greater than one [Ref. 3].

The CSA cells are used to tile the bifrequency plane in such a manner that there is no overlap and that the gaps are minimized. This will ensure that for the entire collection interval, the point estimates will be calculated so that the CSA cells cover the whole cyclic cross spectrum. This requires that the CSA cells be contiguous and non-overlapping in both f and α . [Ref. 12]

The entire cyclic cross spectrum [Ref. 7] is

$$S_{xy\Delta f}^{\alpha}(f)_{\Delta f} = \{S_{xy\Delta t}^{\alpha_I}(f_{(jM)})_{\Delta f} : -Q \leq i \leq Q, -R \leq j \leq R\} \quad (7)$$

where

$$Q=M-N, \quad (8)$$

and

$$R=\lfloor \frac{N-M-|i|}{2M} \rfloor. \quad (9)$$

Figure 2 illustrates such a pattern of CSA cells for $\Delta f = 1/8$ and $\Delta t \Delta f = 4$. In most cases, meaningful estimation requires $\Delta t \Delta f \geq 512$. This is especially true for weaker signals down in the noise [Ref. 7]. However, $\Delta f = 1/8$ is often encountered in practice, since Δf need be no smaller than one half the bandwidth of the signal of interest, [Ref. 10].

The $\Phi[.]$ function in the OBSCA equation is a complex sign detector. This function is generally applied to the time or frequency shifted spectral sequence prior to the correlation computation. The output of the complex sign detector needs to be rotated $\pi/4$ radians in order to reduce the complex multiplies of the correlation computation to simple sign changes and data multiplexing operations. [Ref. 7]

Table 1 summarizes how all this works. Column 1 shows the four possible sign combinations for a complex number. Column 2 shows the sign bits clipped from the rest of the data. Column 3 rotates the sign bits by $\pi/4$ radians and column 4

$\Phi[Y]$	$SB(\Phi[Y])$	$\Phi[Y]e^{j\pi/4}$	$(\Phi[Y]e^{j\pi/4})^*$	$X(\Phi[Y]e^{j\pi/4})^*$	$(\Phi[Y]e^{j\pi/4})Y^*$
(1,1)	(0,0)	(0,1)	(0,-1)	$(x_i, -x_r)$	(y_i, y_r)
(-1,1)	(1,0)	(-1,0)	(-1,0)	$(-x_r, -x_i)$	$(-y_r, y_i)$
(-1,-1)	(1,1)	(0,-1)	(0,1)	$(-x_i, x_r)$	$(-y_i, -y_r)$
(1,-1)	(0,1)	(1,0)	(1,0)	(x_r, x_i)	$(y_r, -y_i)$

Table 1: OBSCA decoding array operation

takes the complex conjugate of column 3. Column 3 is needed in equation (6) while column 4 is used in equation (5). Column 5 demonstrates the multiplexing function of these sign bits as applied to an arbitrary complex signal X , (x_r, x_i) . Similarly for column 6 with an arbitrary complex signal Y , (y_r, y_i) . After the data is multiplexed by the appropriate decoding array it is passed on to two accumulators (one for the real and imaginary parts). These accumulators require M additions to complete an estimate and then the output is multiplied by the scaling factor associated with that CSA cell. Figure 3 is a basic block diagram of this process. [Ref. 7]

B. ALGORITHMIC COMPARISONS

1. ENTIRE BIFREQUENCY PLANE

The *Hardware Complexity Product*, $p_{\text{hw}} * F_1$, [Ref. 10] is used as a measure of the relative complexity of a particular

architecture in the analysis of both FAM and FSM in computing the spectral correlation function. P_{hu} is simply the number of hardware units needed to accomplish the given computations, while the *Factor of Real Time*, F_I , is used as an aid in characterizing the closeness to real time computations of each of the different methods.

$$F_T = \frac{\text{computation time}}{\text{collect time}}. \quad (10)$$

$P_{hu} * F_I = C_u / \Delta t$ where C_u is the number of computations needed and Δt is the total number of samples processed. The *Hardware Complexity Product* is useful because for real time calculations $F_I=1$, and p_{hu} will then represent the total number of hardware units needed to operate in parallel to achieve real time. In the case where only one hardware unit is available, $p_{hu} = 1$, the *Factor of Real Time* gives a objective view of the length of time needed to perform all the necessary computations. For convenience, all complex butterflies, multipliers, and adders will be assumed to be rate-1 and radix-2.

Tables 2 and 3 summarize the complexity analysis for the major sections of the FSM and FAM realizations, respectively [Ref. 10]. Because $S_x(f)$ is concerned only with real valued signals, the inherent symmetry of the function makes it necessary to calculate only the first quadrant of the bifrequency plane.

(1 required)		$N^2/4M$ required	
	FFT	Correlator	Summer
CBF	$(N/2)\log_2 N$	—	—
Cpx Mpy	$(N/2)\log_2 N$	M	—
Cpx Add	$N\log_2 N$	—	M
Real Mpy	$2N\log_2 N$	$4M$	—
Real Add	$3N\log_2 N$	$2M$	$2M$

Table 2: Complexity summary for FSM

a. *FSM*

From Table 2, the number of real multiplies for the FSM algorithm over the entire bifrequency plane is

$$C_{rm} = 2N \cdot \text{LOG}_2 N + \frac{N^2}{4M} (4M) \quad (11)$$

Remembering $N = \Delta t$ and $M = \Delta t \Delta f$, equation (11) becomes

$$C_{rm} = 2\Delta t \cdot \text{LOG}_2 \Delta t + (\Delta t)^2 \quad (12)$$

and therefore

$$P_{rm} \cdot F_T = \frac{C_{rm}}{N} = 2\text{LOG}_2 \Delta t + \Delta t \quad (13)$$

Also from Table 2, the equation for the number of real additions for FSM is

$$C_{ra} = 3N \cdot \text{LOG}_2 N + \frac{N^2}{4M} (4M) \quad (14)$$

and the *Hardware Complexity Product* becomes

$$p_{ra} * F_T = 3 * LOG_2 \Delta t + \Delta t \quad (15)$$

(P required)				((N') ² /4 required)	
	Wndw	N' FFT	Down Conv.	Correl. Multi.	P FFT
CBF	—	(N'/2)log ₂ N'	—	—	(P/2)log ₂ P
Cpx Mpy	—	(N'/2)log ₂ N'	N'	P	(P/2)log ₂ P
Cpx Add	—	N'log ₂ N'	—	—	Plog ₂ P
Real Mpy	N'	2N'log ₂ N'	4N'	4P	2Plog ₂ P
Real Add	—	3N'log ₂ N'	2N'	2P	3Plog ₂ P

Table 3: Complexity summary for FAM

b. FAM

Similarly, from Table 3, the number of real multiplies for FAM over the entire plane is

$$C_{rm} = 8N * LOG_2 \frac{N}{M} + 2 \frac{N^2}{M} * LOG_2 4M + 4 \frac{N^2}{M} + 20N \quad (16)$$

and so the *Hardware Complexity Product* becomes

$$p_{rm} * F_T = 8 * LOG_2 \left(\frac{1}{\Delta f} \right) + 2 \left(\frac{1}{\Delta f} \right) * LOG_2 4 \Delta t \Delta f + 4 \left(\frac{1}{\Delta f} \right) + 20 \quad (17)$$

The number of real additions for FAM are also taken from Table 3.

$$C_{ra} = 12N * LOG_2 \frac{N}{M} + 3 \frac{N^2}{M} * LOG_2 4M + 2 \frac{N^2}{M} + 8 \quad (18)$$

Therefore

$$P_{ra} * F_T = 12 * \log_2\left(\frac{1}{\Delta f}\right) + 3\left(\frac{1}{\Delta f}\right) * \log_2 4 \Delta t \Delta f + 2\left(\frac{1}{\Delta f}\right) + 8 \quad (19)$$

c. OBSCA

For OBSCA, the calculations are not as straightforward. Similarly to the calculations for FSM, the number of real multiplies for OBSCA is $C_{rm} = 4(C_{BF} + C_s)$, where C_{BF} is the number of complex butterflies and C_s is the number of scaling factors required over the bifrequency plane. The factor of 4 is due to the fact that each scaling factor is a complex quantity which must be multiplied to its respective CSA cell, and there are 4 real multiplies to each complex one. For the entire bifrequency plane, the number of scaling factors is given by

$$C_s = \frac{1}{2} \left(\frac{N}{M} \right)^2 - \frac{N}{M} + 1 \quad (20)$$

However, due to the fact that the other two algorithms are computed only for the first quadrant of the bifrequency plane, the factor of 4 applied to C_s is canceled. Therefore, the Hardware Complexity Product for OBSCA becomes

$$P_{rm} * F_T = \frac{C_{rm}}{N} = 2 * \log_2 \Delta t + \frac{1}{2} \frac{1}{\Delta t \Delta f^2} - \left(\frac{1}{\Delta t \Delta f} \right) + \left(\frac{1}{\Delta t} \right) \quad (21)$$

The number of real additions for OBSCA is $C_{ra} = 6C_{BF} + 2C_s + C_{sum}$, where C_{sum} is the total number of additions required in all the accumulators over the entire bifrequency plane. From equation (5), there are M additions for each change in either i or j . So, from equation (3), $C_{sum} = M * [\text{range of } i] * [\text{range of } j] = M * 2Q * 2R$, or

$$C_{sum} = M(2(N-M)) \left(2 \left(\frac{N-M-|i|}{2M} \right) \right) = 2(N-M)(N-M-|i|) \quad (22)$$

Let $i=0$ in order to calculate the worst case total of operations. Then

$$C_{sum} = 2(N-M)^2 = 2(N^2 - 2NM + M^2) = 2N^2 - 4NM + 2M^2 \quad (23)$$

$$2C_s = 2 \left(\frac{1}{2} \left(\frac{N}{M} \right)^2 - \frac{N}{M} + 1 \right) = \left(\frac{N}{M} \right)^2 - 2 \frac{N}{M} + 2 \quad (24)$$

So the total of real additions for OBSCA is

$$C_{ra} = 3N * \log_2 N + 2N^2 - 4NM + M^2 + \left(\frac{N}{M} \right)^2 - 2 \frac{N}{M} + 2 \quad (25)$$

Again, removing a factor of 4 from C_s and C_{sum} to indicate the number of calculations in the first quadrant only

$$P_{ra} * F_T = 3 * \log_2 \Delta t + \frac{1}{2} \Delta t - \Delta t \Delta f + \frac{1}{4} (\Delta t \Delta f)^2 + \frac{1}{4} \frac{1}{\Delta t \Delta f^2} - \frac{1}{2} \left(\frac{1}{\Delta f} \right) + \frac{1}{2}. \quad (26)$$

Figures 4 and 5 illustrate the relationships between these equations quite clearly. The *Hardware Complexity Product* is plotted against the time-frequency resolution product in a log vs. log fashion. This shows that although there is enormous savings in the number of real multiplies, OBSCA is still not as advantageous as FAM over the whole plane. The low number of real multiplies is offset by the exponential increase in the number of real additions as $\Delta t \Delta f$ increases.

2. SINGLE CYCLIC FREQUENCY OF INTEREST

For applications such as Time difference of arrival, Frequency Difference of Arrival, signal classification, and parameter measurement, it is useful to look at a particular feature on the bifrequency plane. So, instead of computing the first quadrant of the plane, there are many situations where it is necessary to perform only those calculations needed for a single α_0 and all f_j . Such situations include looking for a feature occurrence at a known α , as well as using SPECCORR or SPECCOA to measure the time difference of arrival.

a. FSM

The number of calculations due to the complex butterflies will not change. While the following equations are again discussed in terms of the first quadrant of the bifrequency plane, if $S_{xy}(f)$ is going to be calculated for

SPECCORR or SPECCOA, it will be necessary to include the negative frequencies also. Equations (23) through (34) can be easily adjusted by multiplying all but the first term by a factor of 2.

So, the number of real multiplies for FSM along a given α_0 is

$$C_{xm} = 2N * \log_2 N + \frac{N}{2M} (4M) \quad (27)$$

and

$$P_{xm} * F_T = \frac{C_{xm}}{N} = 2 * \log_2 \Delta t + 2 \quad (28)$$

The number of real additions is given by

$$C_{xa} = 3N * \log_2 N + \frac{N}{2M} (4M) \quad (29)$$

and

$$P_{xa} * F_T = 3 * \log_2 \Delta t + 2 \quad (30)$$

b. FAM

A similar argument follows for FAM. The number of calculations performed by the complex butterflies remains unchanged. The number of calculations required along one dimension of the bifrequency plane reduces from $(N')^2/4$ down

to just $N'/2$. With $N'=N/M$, the single α calculations becomes $N/(2M)$. And the number of real multiplies reduces from equation (12) to

$$C_{xm} = 8N * \text{LOG}_2 \frac{N}{M} + 4N * \text{LOG}_2 4M + 28N \quad (31)$$

and

$$P_{xm} * F_T = 8 * \text{LOG}_2 \left(\frac{1}{\Delta f} \right) + 4 * \text{LOG}_2 4\Delta t \Delta f + 28 \quad (32)$$

The same reduction is apparent for the real additions,

$$C_{xa} = 12N * \text{LOG}_2 \frac{N}{M} + 6N * \text{LOG}_2 4M + 12N \quad (33)$$

and therefore

$$P_{xa} * F_T = 12 * \text{LOG}_2 \left(\frac{1}{\Delta f} \right) + 6 * \text{LOG}_2 4\Delta t \Delta f + 12 \quad (34)$$

c. OBSCA

Along a single line of α , the OBSCA calculation for positive f is relatively simple. The number of operations due to the complex butterflies is the same as FSM. While the relationship for the real multiplies is exactly the same as for the whole plane $C_{rm} = 4(C_{BF} + C_s)$, C_s is now much less. The largest number of scaling factors is along the f axis where

$\alpha_0=0$. In this case, for single α and positive f , $C_s=0.5[(N/M)-1]$. So

$$C_{rm}=2N*LOG_2N+2\frac{N}{M}-2 \quad (35)$$

and

$$P_{rm}*F_T=\frac{C_{rm}}{N}=2*LOG_2\Delta t+2\left(\frac{1}{\Delta t\Delta f}\right)-2\left(\frac{1}{\Delta t}\right) \quad (36)$$

The formula for real additions is also the same, $C_{ra}=6C_{bf}+2C_s+C_{sum}$. But C_{sum} , as well as C_s , is different. In equation (5), there are M additions for each i and j . Here i is a constant. From equation (7), then, $C_{sum}=M*[range\ of\ j]=2MR$. With $i=0$, and substituting equation (9) for R , $C_{sum}=N-M$. And for positive f , $C_{sum}=0.5(N-M)$. Also, $2C_s=2\{0.5[(N/M)-1]\}=(N/M)-1$. Therefore, the total number of real additions is

$$C_{ra}=3N*LOG_2N+\frac{N-M}{2}+\left(\frac{N}{M}\right)-1 \quad (37)$$

and

$$P_{ra}*F_T=3LOG_2\Delta t+\frac{1}{2}-\frac{1}{2}\Delta f+\left(\frac{1}{\Delta t\Delta f}\right)-\left(\frac{1}{\Delta t}\right) \quad (38)$$

It is important to notice that because the double summation in equation (7) is reduced to a single summation for

a given α_0 , the number of real additions has only a factor of N in the second term of equation (37) instead of the N^2 in equation (25). This factor then drops completely out of equation (38). This rids OBSCA of that exponential rise in the number of real additions which is the reason that the algorithm is not competitive in calculating the whole bifrequency plane. It is also interesting to note that equation (38) is not significantly less than equation (26) for FSM. Especially in light of the fact that the last three terms in equation (34) are considerably less than one and can be ignored compared to the first two terms. Thus, it is surprising to see that FSM is extremely competitive with OBSCA in computing the a single line of α .

Figures 6 and 7 show the *Hardware Complexity Product* of the real multiplications and real additions for a single α , respectively. As in Figures 4 and 5, these are plotted against the time-frequency resolution product in order to better display the tendencies for useful $\Delta t \Delta f$'s. As shown, OBSCA is much more efficient than FAM for a single α , but it is also shown how close FSM is to OBSCA.

C. BANDWIDTH ADVANTAGE

Because of the manner in which OBSCA is calculated, there is an advantage in using OBSCA in TDOA calculations. The two methods, SPECCORR and SPECCOA, require that both the autocorrelation, $S_x(f)$, and the cross correlation, $S_{yx}(f)$, be

computed. OBSCA can reduce the amount of data which needs to be transferred. Under normal circumstances, two separate receivers are used to receive the signals. For the sake of argument, let receiver one (R1) receive the signal $x(t)$ and perform the computations, and receiver two (R2) receive the time shifted signal $x(t-t_0)=y(t)$. In order for R1 to implement the SPECCOA algorithm, R2 must send all N sample of complex data to R1. Let n be the number of bits required for each real and imaginary parts. Then, R2 must send $2Nn$ bits of data. R1 can then compute S_{xy} and S_x for the SPECCOA algorithm.

Examination of equation (5) reveals that the complex sign detector function, $\phi[.]$, only relies on the spectral data from $y(t)$, while equation (6) shows that the scaling factors can also be computed solely from this same data. Therefore, in a similar situation where R1 receives $x(t)$ and R2 receives $y(t)$, the transmission bandwidth required is less by at least a factor of 10. Once both signals are received, R1 can determine the cyclic frequency of interest. This is sent to R2 using n bits. R2 can now calculate the required scaling factors and clip the sign bits from the spectral data of $y(t)$. At most there are $(N/M)-1$ scaling factors along the f axis [Ref. 7]. Since these are complex numbers, this is sent to R1 with $((N/M)-1)2n$ bits. The resulting sign bits for N samples only need 2N bits to be sent to R1. The total number of bits required to transmit all this data back and forth is

$n_t = (2Nn)/M + 2N + n$ bits for OBSCA as opposed to $2Nn$ bits normally. Typical values are $N=4,194,304$, $M=4096$, and $n=24$ bits. These numbers lead to an approximate reduction of 25 times less data needing to be transmitted. This is illustrated clearly in Figure 8.

While the $\Phi[.]$ data only has to be transmitted once, each α must be sent separately. Furthermore, if these α 's are not sufficiently close so as to fall within the same set of partitions, then a new set of scaling factors must be sent as well. This, of course, would increase the required bandwidth necessary to transmit the data.

III. STRUCTURES FOR SINGLE CYCLIC FREQUENCIES

A. INTRODUCTION

Reference 7 introduces a structural architecture which allows highly parallel computational implementations of the OBSCA in calculating the entire bifrequency plane. In order to accomplish this, reference 7 describes a *Basic Partitioning Scheme* (BPS) which mimics the contiguous and nonoverlapping pattern of CSA cells in Figure 2. The idea is that once the bifrequency plane has been appropriately tiled with partitions based on the BPS, all the calculations within each partition can be conducted independently of any other partition. Each partition on the plane is mapped into a square array called the Q array. This array is then subdivided into related partitions called the R and S arrays. These arrays in turn led to a suggestion of an architecture for parallel computation. The example in reference 7 uses $\Delta f = 1/8$ which is achieved with $N=16$ and $M=2$. The number of partitions from equation (20) is $C_s=25$. These partitions map into the Q array as shown in Figure 9, and Figure 10 shows how the R and S arrays are formed from the Q array. Taking the R array as a further example, reference 7 goes on to show that if processor elements were arranged as in Figure 11, each partition would

be computed in parallel. Further parallelism within each processor element was also discussed.

B. EQUIVALENT STRUCTURE FOR SINGLE CYCLIC FREQUENCY OF INTEREST

Continuing with the concept that it would be advantageous at times to calculate a line of frequency for a given cyclic frequency of interest, the following structure is presented. Based on the ideas found in reference 7, only minor modifications need to be made to allow highly parallel computation of a single α_0 .

The architecture in reference 7 is shown in Figure 12. Since each partition requires a contiguous band of spectral data, the process begins by transferring the spectral data from the output of the FFT's to the X and Y memory buffers of the processor element. The data is broadcasted sequentially from one end of the spectral band to the other and the memory buffers intercept and store its appropriate band of data. Once all this is accomplished, all the partitions are computed simultaneously.

Upon examining Figures 11 and 12 closely, it is of interest to note that for a given α , the X and Y memory buffer are only used, at most, once each. This readily suggests that a decoding array can be implemented which uses α as an input and multiplexes the X and Y memory buffers appropriately to compute the correct partitions associated with the given α .

Figure 13 shows the R and S arrays with the X and Y memory buffers. Figure 14 shows how a given α fixes the use of each X and Y memory buffer to calculate the partitions for $\Delta f=1/8$. Once each partition is computed, it is passed on to be scaled as needed. Within each processor element, the same architecture suggested in reference 7 can be used without modification.

The advantage in this structure, of course, is that there are not so many processor elements needed to perform the desired calculations. At most, $(N/M)-1$ processor elements would be required as mentioned earlier. Although it would be infrequently required to calculate along the f axis as that is the normal signal power spectrum, if less processor elements were used it would be possible not to have enough elements to calculate all the required partitions in a single pass. This would require that some processor elements compute more than one partition which obviously slows performance and defeats the advantages inherent in the parallel design.

IV. SUMMARY

A. CONCLUSIONS

The multiplexing properties of the OBSCA allow for greatly reduced numbers of real multiplications. However, it is not sufficient to give OBSCA an advantage over the better time smoothing algorithms. FAM and SSCA are still far more computationally efficient in computing the entire bifrequency plane than their frequency smoothing counterparts, even with OBSCA.

It has been shown that OBSCA is much better suited for calculating a single α than either FAM or SSCA. However, it is interesting to note that while OBSCA is intended to increase the computational efficiency of the frequency smoothing methods, the direct application of the FSM proved to be nearly as efficient as OBSCA.

There does exist a transmission bandwidth advantage to using OBSCA in TDOA operations. This is especially true when there are multiple cyclic frequencies of interest within the same set of partitions. The advantage is diminished slightly for each new α which lies in a new partition set.

Application specific integrated circuits appears to be a natural implementation procedure for the proposed system architecture illustrated in Figures 11, 12, and 14.

B. RECOMMENDATIONS

In the case where there is only one cyclic frequency of interest, it is readily apparent from this research that a closer study of FSM and OBSCA is required to understand the trade offs between the two methods. It is, therefore, recommended that further analysis be conducted concentrating on the computational and implementational similarities and differences that exist between FSM and OBSCA.

Further architectural study is needed to determine a suitable Application Specific Integrated Circuit (ASIC) which would be appropriate to OBSCA. The OBSCA's obvious potential of implementation using massively parallel architectures far exceeds that of the other algorithms and also requires a more in depth approach than given here.

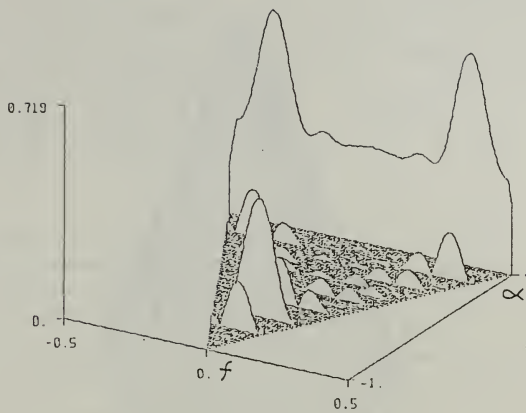


Figure 1 BPSK signal on bifrequency plane

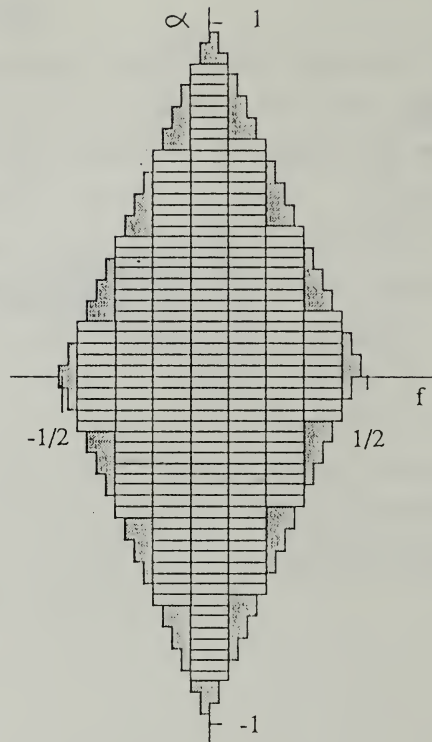


Figure 2 CSA cells tiling the bifrequency plane

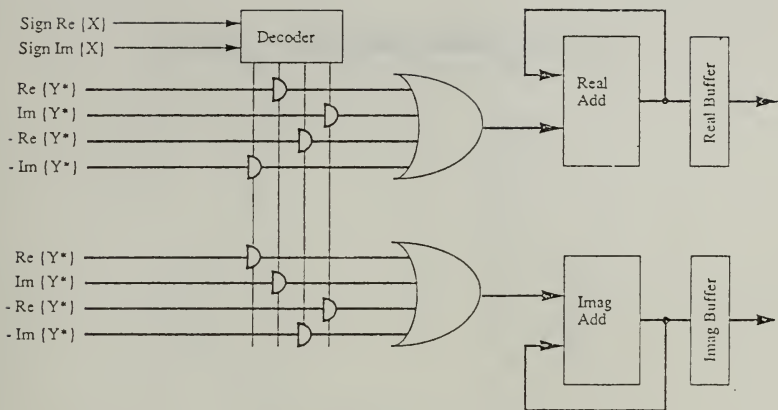


Figure 3 Arithmetic unit for OBSCA

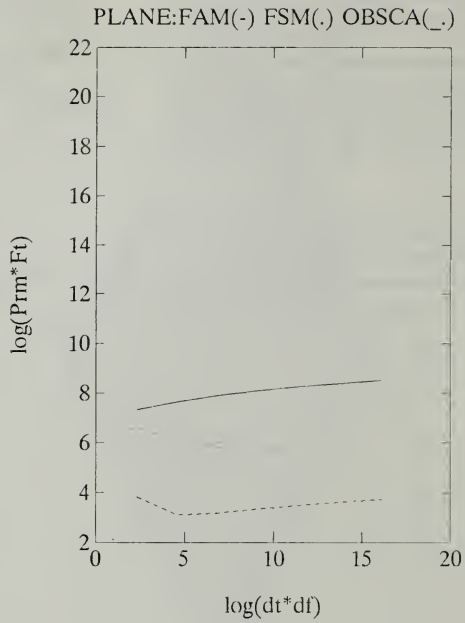


Figure 4 Complexity of real multiplies for entire plane

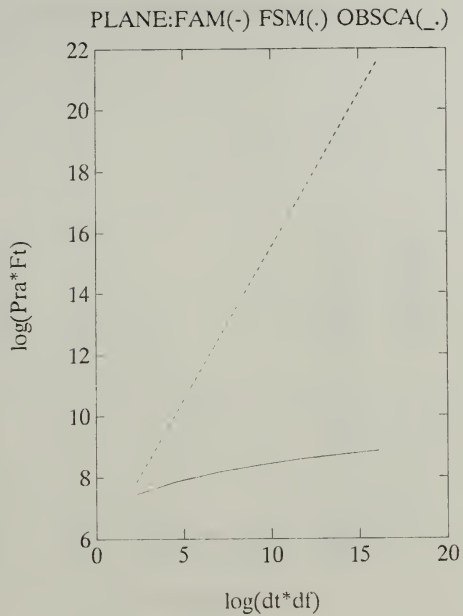


Figure 5 Complexity of real adds for entire plane

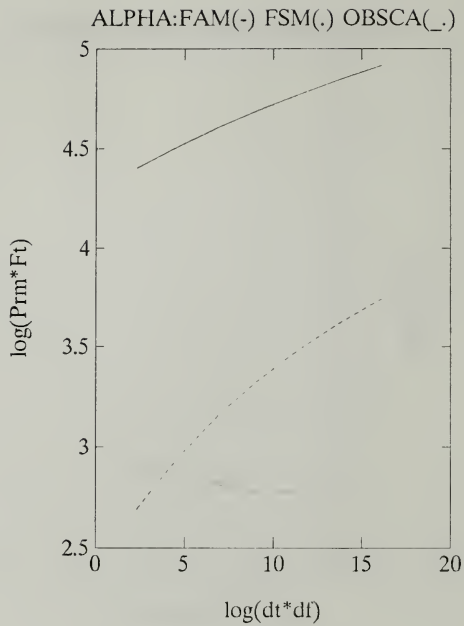


Figure 6 Complexity of real multiplies for single α

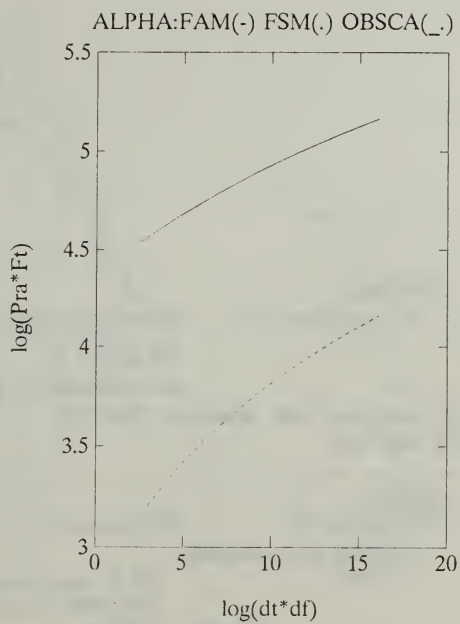


Figure 7 Complexity of real adds for single α

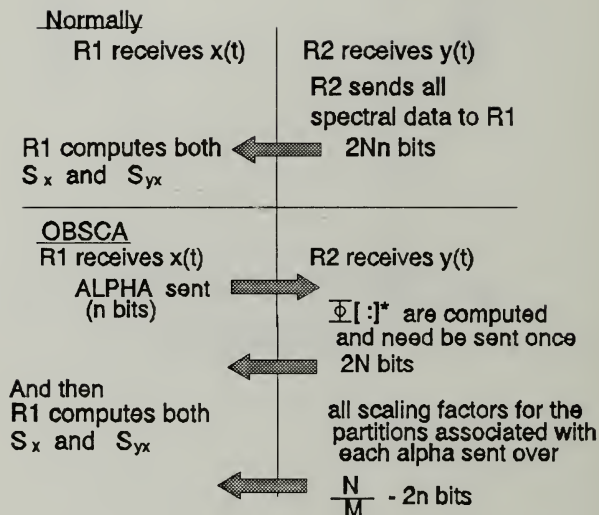


Figure 8 Comparison of required transmission bandwidths

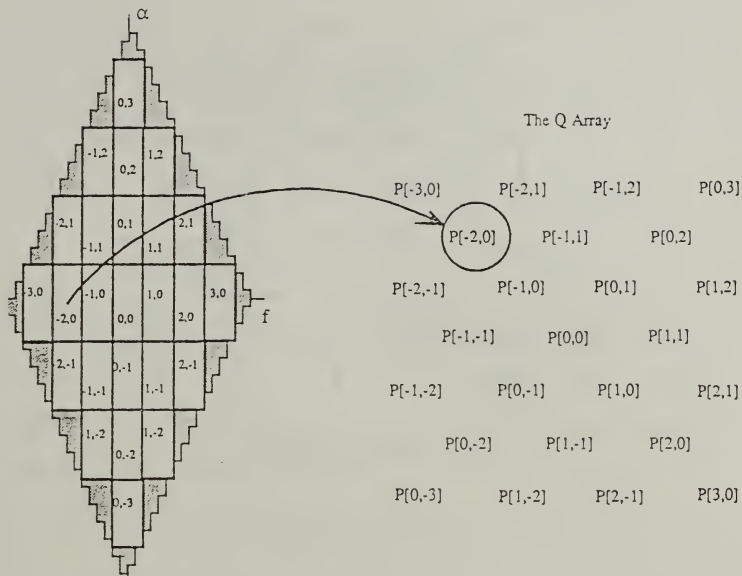


Figure 9 Mapping of the BPS into the Q array

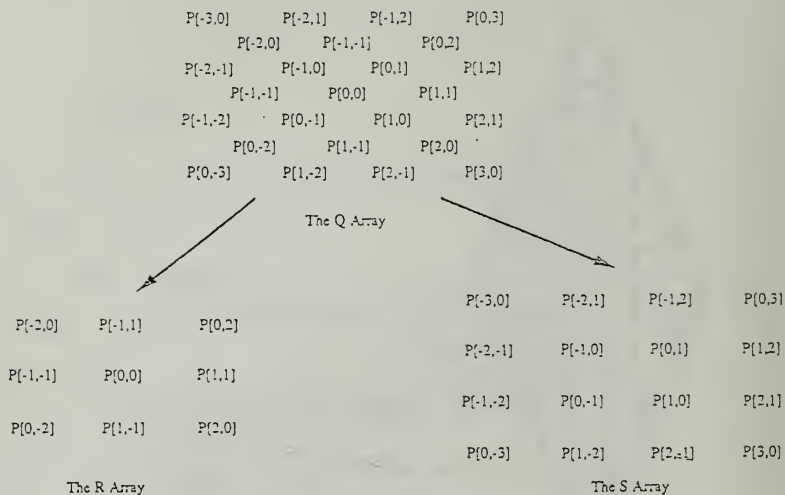


Figure 10 Decomposition of Q array into R and S arrays

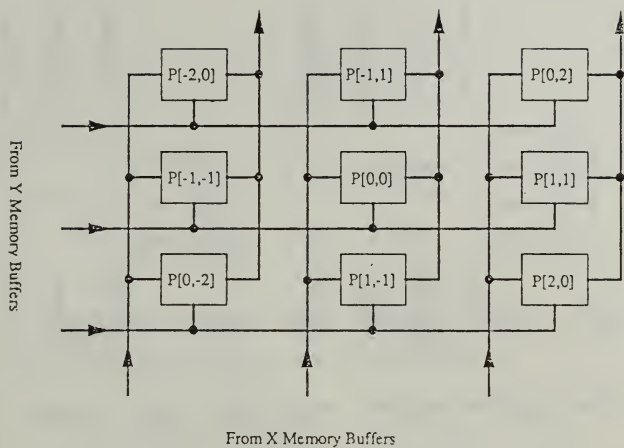


Figure 11 The R processor array

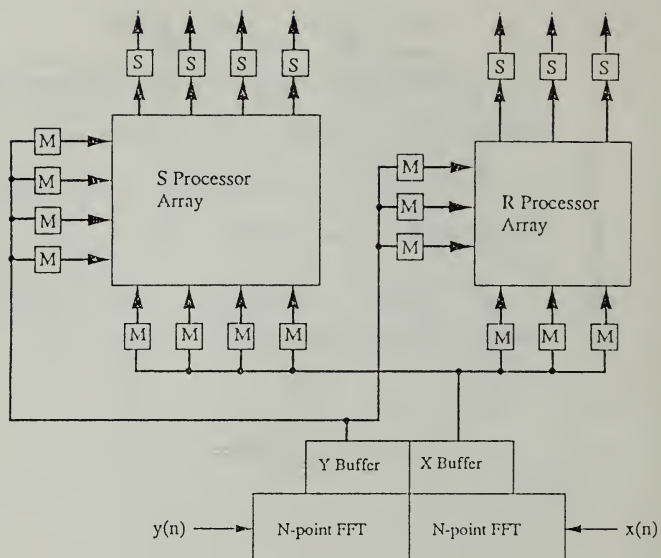


Figure 12 System architecture for $\Delta f = 1/8$

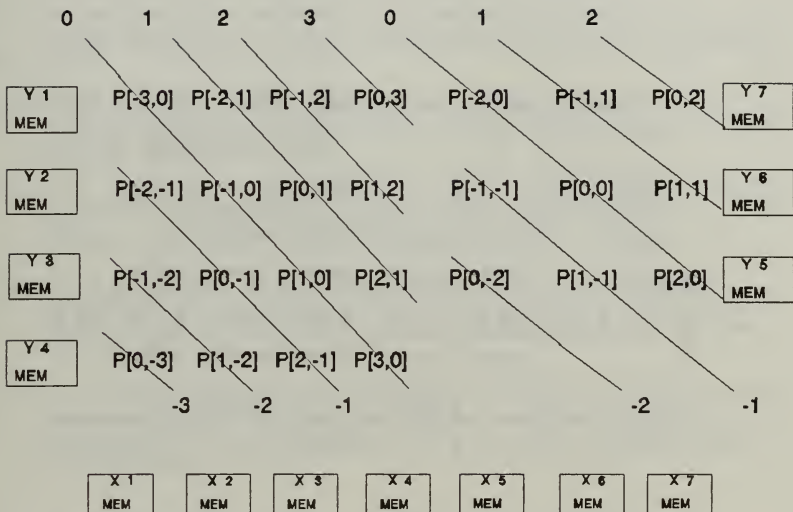


Figure 13 The R and S arrays with aligned memory buffers

	f=	PE 1	PE 2	PE 3	PE 4	PE 5	PE 6	PE 7
		-3	-2	-1	0	1	2	3
A L P H A	-3				X1 Y4			
	-2			X1 Y3	X5 Y5	X2 Y4		
	-1		X1 Y2	X5 Y6	X2 Y3	X6 Y5	X3 Y4	
	0	X1 Y1	X5 Y7	X2 Y2	X6 Y6	X3 Y3	X7 Y5	X4 Y4
	1		X2 Y1	X6 Y7	X3 Y2	X7 Y6	X4 Y3	
	2			X3 Y1	X7 Y7	X4 Y2		
	3				X4 Y1			

Figure 14 Single α decoding array

LIST OF REFERENCES

- [1] W. A. Gardner, "The spectral correlation theory of cyclostationary time-series," *Signal Processing*, vol. 11, pp. 13-36, July 1986.
- [2] W. A. Gardner, "Measurement of spectral correlation," *IEEE Trans. Acoust., Speech, Signal Processing*, vol. ASSP-34, pp. 1111-1123, October 1986.
- [3] W. A. Gardner, *Statistical Spectral Analysis: A Nonprobabilistic Theory*, Englewood Cliffs, NJ: Prentice-Hall, 1987.
- [4] W. A. Brown, "On the theory of cyclostationary signals," Ph.D. dissertation, University of California, Davis, September 1987.
- [5] W. A. Gardner, "Spectral correlation of modulated signals, part I - Analog modulation," *IEEE Trans. on Communications*, vol. COM-35, pp. 584-594, June 1987.
- [6] W. A. Gardner, W. A. Brown, and C. K. Chen, "Spectral correlation of modulated signals, part II - Digital modulation," *IEEE Trans. on Communications*, vol. COM-35, pp. 595-601, June 1987.
- [7] R. S. Roberts and H. H. Loomis, Jr., "Parallel computation structures for a class of cyclic spectral analysis algorithms," submitted to *IEEE Transactions on Signal Processing*.
- [8] W. A. Gardner and H. H. Loomis, Jr., "Digital implementations of spectral correlation analyzers," *IEEE Fourth Annual ASSP Workshop on spectrum Estimation and Modeling*, pp. 264-270, August 1988.
- [9] R. S. Roberts, W. A. Brown, and H. H. Loomis, Jr., "Computationally efficient algorithms for cyclic spectral analysis," *IEEE Signal Processing Magazine*, vol. 8, No. 2, pp. 38-49, April 1991.
- [10] W. A. Brown and H. H. Loomis, Jr., "Digital implementations of spectral correlation analyzers," *IEEE Transactions on Signal Processing*, Spring 1993, (in press).

- [11] C. Chih-Kang and W. A. Gardner, "Signal-selective time-difference-of-arrival estimation for passive location of manmade signal sources in highly corruptive environments. Part II: algorithms and performances," *IEEE Transactions on Signal Processing*, vol. 40, 1992 (in press).
- [12] R. S. Roberts, "Architectures for digital cyclic spectral analysis," Ph.D. dissertation, Department of Electrical Engineering and Computer Science, University of California, Davis, 1989.

INITIAL DISTRIBUTION LIST

1. Defense Technical Information Center 2
Cameron Station
Alexandria, Virginia 22304-6145
2. Library, Code 52 2
Naval Postgraduate School
Monterey, California 93943-5002
3. Chairman, Code EC 1
Department of Electrical and Computer Engineering
Naval Postgraduate School
Monterey, California 93943-5000
4. Prof. H. Loomis, Code EC/Lm 4
Department of Electrical and Computer Engineering
Naval Postgraduate School
Monterey, California 93943-5000
5. Prof. R. Bernstein, Code EC/Be 1
Department of Electrical and Computer Engineering
Naval Postgraduate School
Monterey, California 93943-5000
6. LT George A. Hutcheson 1
344 Folkstone Circle
Augusta, Georgia 30907
7. Randy S. Roberts 1
Signal Processing Group
Department of Electrical Engineering and Computer Science
University of California
Davis, California 95616

DUDLEY KNOX LIBRARY
NAVAL POSTGRADUATE SCHOOL
MONTEREY CA 93943-5101



GAYLORD S



DUDLEY KNOX LIBRARY



3 2768 00019250 4